



**PCT**

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>C08L 101/00, 77/02, C08K 9/06, 7/00</b>		<b>A1</b>	(11) International Publication Number: <b>WO 95/06090</b>
			(43) International Publication Date: <b>2 March 1995 (02.03.95)</b>
(21) International Application Number: <b>PCT/US94/09498</b>		(81) Designated States: JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: <b>23 August 1994 (23.08.94)</b>		<b>Published</b> <i>With international search report.</i>	
(30) Priority Data: <b>08/109,955</b> <b>23 August 1993 (23.08.93)</b> <b>US</b>			
(71) Applicant: <b>ALLIEDSIGNAL INC. [US/US]; 101 Columbia Road, P.O. Box 2245, Morristown, NJ 07962-2245 (US).</b>			
(72) Inventors: <b>MAXFIELD, MacRae; 211 Edgemont Place, Teaneck, NJ 07666 (US). CHRISTIANI, Brian, Richard; 139 Indiana Street, Maplewood, NJ 07040 (US). SASTRI, Vinod, Ram; 15 North Shields Avenue, Richmond, VA 23220 (US).</b>			
(74) Agent: <b>CRISS, Roger, H.; AlliedSignal Inc., Law Dept. (C.A. McNally), P.O. Box 2245, 101 Columbia Road, Morristown, NJ 07962-2245 (US).</b>			
(54) Title: <b>POLYMER NANOCOMPOSITES COMPRISING A POLYMER AND AN EXFOLIATED PARTICULATE MATERIAL DERIVATIZED WITH ORGANO SILANES, ORGANO TITANATES AND ORGANO ZIRCONATES DISPERSED THEREIN AND PROCESS OF PREPARING SAME</b>			
(57) Abstract			
<p>This invention relates to a process of forming a composite material comprising a polymer matrix comprising a polymeric material and dispersed particles selected from the group consisting of platelet or fibrillar particles having average thicknesses of less than 50 Å and a maximum thickness of less than about 100 Å and fibrils having an average diameter equal to or less than about 200 Å and a maximum diameter of about 200 Å and having an organo metallic residue species covalently bonded to said particles, said organo metallic species having a moiety which is compatible with a polymer species in the polymer matrix, or said species having a moiety which is covalently bonded to a polymer species in the polymer matrix or a combination thereof, said dispersed particles being present in an amount less than about 60 % by weight of the composite material and to the composite material formed by such process.</p>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

**POLYMER NANOCOMPOSITES COMPRISING A POLYMER AND AN  
EXFOLIATED PARTICULATE MATERIAL DERIVATIZED WITH  
ORGANO SILANES, ORGANO TITANATES AND ORGANO  
ZIRCONATES DISPERSED THEREIN AND PROCESS  
OF PREPARING SAME**

**BACKGROUND OF INVENTION**

**1. Field of the Invention**

This invention relates to a composite material comprising a polymer matrix having dispersed therein platelet particles derived from swellable intercalated layered or fibril particles derivatized with reactive organo silane, titanate and zirconate compounds, and to articles of manufacture formed from the composite of this invention. This invention also relates to a process of forming the composite of this invention where the intercalated layered or fibril particles have layers or fibrils which are compatible with the polymer of the matrix, such that during the process the polymer-compatible layers of the intercalated material dissociate one from the other to form platelet or fibril fillers.

**2. Prior Art**

A specific category of polymer composites has been described as a composite material comprising a polymer matrix containing a polyamide having uniformly dispersed therein layers of silicate. Such composites and process for forming same are described in US Pat Nos. 4,889,885; 5,091,462; 4,136,103; 5,102,948; 2,886,550; 4,739,007 and 4,810,734; Deutsches Pat. 3808623 A1; Japanese Patent J 02 208358 A; EPO 0 351 357; 0 358 415; 4 459 472; 0 387 903; 0 352 042 and 0 398 551; Japanese Kokai Nos. 76/109,998; 53/69297; 49-20249; 50-27851; 215557; 215556; 51/109998; 48/103653; 55/38865 and 59817; J. Inclusion

Phenomena 5, (1987), 473-483; Clay Minerals, 23, (1988), 27; Polym. Preprints, 32, (April 1991), 65-66; and Polym. Prints, 28, (August 1987), 447-448.

5 U.S. Patent No. 4,874,728 discloses organophilic clay comprising a smectite clay, a quaternary ammonium compound having at least one long (12 to 22 carbon) alkyl chain, and an organosilane.

10 U.S. Patent No. 2,531,396 discloses elastomer base and a clay modified with an organic onium base and (2) a compounding process consisting of mixing a suspension of modified clay with a rubber latex in the presence of a precipitating agent, and collecting the precipitated coagulate.

15 Treatment of mineral fillers with molecular agents, such as silanes, is known. See for example E.P. Plueddemann, "Silane Coupling Agents", Plenum, New York, 1982.

#### **SUMMARY OF THE INVENTION**

20 This invention relates to a process for forming a polymeric composite which comprises layered or fibrillar particles dispersed in a polymeric matrix which comprises the steps of:

25 (a) derivatizing an inorganic material comprising layered or fibrillar particles by reaction with an organo metallic species selected from the group consisting of organo silanes, organo titanates and organo zirconates, said species having one or more moieties which are capable of forming covalent bonds with said particles and said species having one or more moieties which are reactive with a  
30 polymer precursor or said species having one or more moieties which are compatible with a polymer formed by polymerization of said polymer precursor or a combination of said species to form a  
35 swellable and polymer compatible intercalated material having a first residue of said organo metallic species covalently bonded to all or a portion of said particles; and

(b) polymerizing said polymer precursor in the presence of said swellable and polymer compatible intercalated material to form a composite having said particles uniformly dispersed in a polymeric matrix comprising said polymer, wherein the interlayer or interfibril distances is equal to or greater than about 50 Å, the average thickness of said platelet particles is equal to or less than about 50 Å where the maximum thickness is equal to or less than about 100 Å and the average diameter or "equivalent diameter" of said fibrils is equal to or less than about 100 Å where the maximum diameter or "equivalent diameter" is equal to or less than about 200 Å, wherein the length to diameter ratio of said fibril is equal to or greater than about 10 to about 1, said particles having organo metallic species bonded thereto said bonded species having one or more moieties bonded to at least one polymer in said polymer matrix, or said species having one or more moieties which are compatible with at least one polymer in said polymer matrix which project away from the particle surface and which are not bonded to said polymer or a combination of said species.

Another aspect of this invention relates to a process of for forming a polymeric composite which comprises layered or fibrillar particles dispersed in a polymeric matrix which comprises the steps of::

(a) forming a reaction mixture comprising a polymer precursor and a swellable and polymer compatible intercalated material comprising layered or fibrillar particles having an organo metallic species selected from the group consisting of organo silanes, organo titanates and organo zirconates covalently bonded to said particles, said species having one or more moieties which are reactive with said polymer precursor or said species having one or more moieties which are compatible with a polymer formed by polymerization of said polymer precursor or a combination of said species said species being reactive with said polymer precursor; and

(b) polymerizing said polymer precursor in the presence of said swellable and polymer compatible interrelated material to form a composite having said particles uniformly dispersed in a polymeric matrix comprising said polymer, wherein the interlayer or interfibril distances is equal to or greater than about 50 Å, the average thickness of said platelet particles is equal to or less than about 50 Å where the maximum thickness is equal to or less than about 100 Å and the average diameter or "equivalent diameter" of said fibrils is equal to or less than about 100 Å where the maximum diameter or "equivalent diameter" is equal to or less than about 200 Å, wherein the length to diameter ratio of said fibril is equal to or greater than about 10 to about 1, said particles having organo metallic species bonded thereto said bonded species having one or more moieties bonded to at least one polymer in said polymer matrix, or said species having one or more moieties which are compatible with at least one polymer in said polymer matrix which project away from the particle surface and which are not bonded to said polymer or a combination of said species.

Yet another aspect of this invention relates to a process of for forming a polymeric composite which comprises layered or fibrillar particles dispersed in a polymeric matrix which comprises the steps of::

(a) forming a reaction mixture comprising a polymer precursor, a swellable layered or fibrillar particles and an organo metallic species selected from the group consisting of organo silanes, organo titanates and organo zirconates, said species having one or more moieties which are capable of forming covalent bonds with said particles and said species having one or more moieties which are reactive with a polymer precursor or said species having one or more moieties which are compatible with a polymer formed by polymerization of said polymer precursor or a combination of said species to form a swellable and polymer compatible intercalated material having a first

residue of said organo metallic species covalently bonded to said particles; and

5 (b) polymerizing said polymer precursor in said mixture to form a composite having said particles uniformly dispersed in a polymeric matrix comprising said polymer, wherein the interlayer or interfibril distances is equal to or greater than about 50 A, the average thickness of said platelet particles is equal to or less than about 50 A where the maximum thickness is equal to or less than about 100 A and the average diameter or "equivalent diameter" of said fibrils is equal to or less than about 100 A where the maximum diameter or "equivalent diameter" is equal to or less than about 200 A, wherein the length to diameter ratio of said fibril is equal to or greater than about 10 to about 1, said particles having organo metallic species bonded thereto said bonded species having one or more moieties bonded to at least one polymer in said polymer matrix, or said species having one or more moieties which are compatible with at least one polymer in said polymer matrix which project away from the particle surface and which are not bonded to said polymer or a combination of said species.

Still another aspect of this invention relates to a composite material comprising a polymer matrix comprising a polymer, said matrix having layered or fibrillar particles uniformly dispersed therein, wherein the interlayer or interfibril distances is equal to or greater than about 50 A, the average thickness of said platelet particles is equal to or less than about 50 A where the maximum thickness is equal to or less than about 100 A and the average diameter or "equivalent diameter" of said fibrils is equal to or less than about 100 A where the maximum diameter or "equivalent diameter" is equal to or less than about 200 A, wherein the length to diameter ratio of said fibril is equal to or greater than about 10 to about 1, said particles having organo metallic species bonded thereto, said bonded species having one or more moieties bonded to at least one polymer in said polymer

matrix, or said species having one or more moieties which are compatible with at least one polymer in said polymer matrix which project away from the particle surface and which are not bonded to said polymer or a combination of said species.

Still another aspect of this invention relates to an article of manufacture comprising a body which is formed totally or in part from the composite of this invention.

The polymeric compositions of this invention exhibit one or more advantages over prior art composites as for example those described in U.S. Patent Nos. 4,739,007; 2,531,396 and 4,410,734; Deutsches Pat. 3,808,623 A1; Japanese Patent No. 02 208358A and EPA 0,398,551; 0,358,415; 0,352,042 and 0,398,551. For example, the composite of this invention exhibits improved properties such as yield strength and stiffness when dry and when in the presence of polar solvents such as water, methanol, ethanol and the like. Other advantages include enhanced heat resistance, enhanced toughness and enhanced impact strength. The composites of this invention also exhibit superior resistance to diffusion of polar liquids and of gases in the presence of polar media. The material complexes of organo silanes, organo titanates and/or organo zirconates, and organo silanes, organo titanates and/or organo zirconates mixed with primary or secondary ammonium cations have the advantage of having prolonged thermal stability at temperatures greater than about 300°C, below which most thermoplastics are polymerized. The polymer composites formed with organo silanes, organo titanates and/or organo zirconates and organo silanes, organo titanates and/or organo zirconates mixed with one or more onium compounds such as primary or secondary ammonium cations have the advantages of enhanced barrier properties, stiffness, and yield strength when exposed to polar media, enhanced toughness, enhanced stiffness, and enhanced yield strength at elevated temperatures.



**DESCRIPTION OF THE PREFERRED EMBODIMENTS**  
**OF THE INVENTION**

5       The process of this invention includes two essential steps which  
may be carried out sequentially or concurrently. The first step of the  
process comprises forming a swellable layered or fibrillar material  
which is polymer compatible or polymer compatible and reactive, and  
the second step comprises polymerizing a polymer precursor in the  
presence of the layered or fibrillar material formed in the first step.

10       The first step of the process of this invention comprises  
derivatizing a "swellable layered or fibrillar material" by intercalation of  
said swellable layered or fibrillar material with an organo metallic  
material selected from the group consisting of organo silanes, organo  
15 titanates and organo zirconates and reaction of said organo metallic  
and said swellable layered or fibrillar material to form a "swellable and  
polymer-compatible intercalated layered or fibrillar material or polymer-  
compatible and reactive intercalated layered or fibrillar material". As  
20 used herein, "swellable layered or fibrillar materials" are materials  
comprising planar layers or fibrils arrayed in a coherent, coplanar or  
aligned structure, where the bonding within the layered or fibrillar  
particles is stronger than the bonding between the layered or fibrillar  
particles and where a neutral or ionic intercalant or intercalants are  
25 arrayed between the layers or fibrils, such that the materials exhibit  
increased interlayer or interfibril spacing in their intercalation  
compounds; and a "swellable and polymer-compatible intercalated  
layered material or fibrillar material or polymer-compatible and reactive  
30 intercalated layered material or fibrillar material" is a swellable layered  
material or fibrillar material where spaces between layered particles or  
fibrillar particles have been intercalated by one or more intercalants  
comprising one or more organo metallic material selected from the  
35 group consisting of organo silanes, organo titanates, organo  
zirconates or a combination thereof and where said organo metallic  
material has reacted with said layered particles or said fibrillar

particles. As used herein, "layered particles" are particles having two relatively flat opposite faces wherein the thickness of which is the distance between the faces, which is relatively small compared to the size of the faces and as used herein "fibrillar particles" are rod shaped or substantially rod shaped substantially particles having a length dimension greater than the width dimension and having a regular or irregular cross-section.

The intercalants may be introduced into the spaces between every layer or fibril, nearly every layer or fibril, or a large fraction (greater than about 75%) of the layers of fibrils of the layered material such that upon swelling and exfoliation of the swellable material, the resulting platelet particles comprise less than about 10 layers in thickness or fibrillar particles of less than about 10 fibrils in diameter. The platelet particles are preferably less than about 8 layers in thickness and fibrillar particles are preferably less than about 8 fibrils in diameter, more preferably platelet particles are less than about 5 layers in thickness and fibrillar particles are more preferably less about 5 fibrils in diameter, and most preferably platelet particles are about 1 or 2 layers in thickness and fibrillar particles are about 1 or 2 fibrils in diameter.

The amount of organo silane, organo titanate and/or organo zirconate swelling agent/compatibilizing agent intercalated, alone or in combination optional intercalants as for example onium intercalants, into swellable layered or fibrillar materials and reacted therewith may vary substantially provided that the amount is effective to swell and to compatibilize the layers of the intercalated layered or fibrillar material to the extent required to provide the desired substantially uniform dispersion of the material in the polymeric matrix. In the preferred embodiments of the invention, amounts of organo silane, organo titanate and/or organo zirconate agents employed will be equal to or greater than about 1 m moles/100g of layered or fibrillar material. In the more preferred embodiments of the invention the

amount of the agent will range from about 2 mmole/100 g of layered material or fibrillar material to about 200 mmole/100 g of layered or fibrillar material. Most preferred amounts of organo silane, organo titanate and/or organo zirconate agents are from about 20 mmole/100 g to about 120 mmole/100 g. In the case of the preferred layered smectite clay minerals, the most preferred amounts of organo silane, organo titanate and/or organo zirconate agents are from about 20 mmole/100 g to about 100 mmole/100 g of layered material.

Swellable and polymer-compatible intercalated layered or fibrillar material can be formed by any method suitable for forming organo clays. The organo silane, organo titanate and/or organo zirconate swelling/compatibilizing agents may be introduced into the interlayer spaces of the swellable layered material by any suitable method as, for example, by insertion of neutral molecules using conventional procedures. Insertion of neutral molecules may be performed by exposing finely divided layered material to intercalants in the form of a gas, neat liquid, finely divided solid, or solute in a solvent which, preferably swells the layered or fibrillar material. Insertion is generally aided by exposure of the mixture of intercalant and layered or fibrillar material to heat, ultrasonic cavitation or microwaves. (See for example G. Lagly et. al., American Mineralogist, Vol 60, pp. 642-649(1975) For example, montmorillonite or a saponite in water, may be heated as for example, to about 80°C and stirred using a high speed mixer, in a concentration low enough to yield a low viscosity dispersion from which non-dispersible particles can be separated by sedimentation (mineral concentration of about 2% by weight, or 5% to 15% with addition of a peptizing agent such as sodium hexametaphosphate). The dispersion is combined with a solution of a reactive organo silane, organo titanate or organo zirconate such as a caprolactam blocked isocyanatopropyl, (triethoxy) silane and 1-trimethoxysilyl-2-(m,p-dichloromethyl) phenylethane such that the

ratio of organo silane, organo titanate and/or organo zirconate to clay mineral is between 1 and 110 mmole per 100g of clay.

For example, an alkoxy or acyloxy silanes, titanate or zirconate,  
5 dissolved in water or a mixture of water and a polar organic solvent  
such as methanol, ethanol, propanol, acetone, methyl ethyl ketone  
and the like, may be combined with a dispersion of layered or fibrillar  
material in a like solution at about 50°C to about 90°C. The reactive  
10 organo silane, organo titanate and/or organo zirconate intercalated  
layered or fibrillar material resulting from this combination may be  
collected by filtration dried and ground into powder. Other organo  
silanes, organo titanates and/or organo zirconates (reactive or non-  
reactive) and onium cation may be combined in the same solution, or  
15 may be added in separate steps to the dispersion of layered material.  
Alternatively, layered or fibrillar material may be intercalated wholly or  
in part by reactive silane swelling/compatibilizing agent by treating a  
swellable layered material already intercalated with an onium cation  
20 with the reactive organo silanes, organo titanates and/or organo  
zirconates. The reactive organo silanes, organo titanates and/or  
organo zirconates compound is preferably exposed to swellable  
intercalated material in a swelling liquid, such as ethanol, methanol,  
25 toluene, hexane, dioxane, glyme, diglyme, dimethylsulfoxide,  
methylethylketone, and the like, or by treating an aqueous suspension  
of a layered or fibrillar material with water-soluble reactive organo  
silane swelling/compatibilizing agents such as trialkoxysilanes. In one  
30 preferred embodiment, silane intercalated swellable, polymer  
compatible intercalated layered or fibrillar material is formed by the  
following procedure. Onium-intercalated layered materials are  
suspended and swollen in a swelling organic liquid, such as toluene,  
propanol, dioxane, glyme, diglyme, dimethylsulfoxide,  
35 methylethylketone, and the like, and treated with a trialkoxysilane.  
For example, montmorillonite intercalated with octadecylammonium  
cation, at about 80 mmole of ammonium cation/100 g mineral, is

combined with dioxane to form a 5% by weight suspension which is heated to 60°C and combined with a dioxane solution of aminoethylaminopropyl trimethoxysilane, such that the ratio of silane to mineral is about 20 mmole/100 g. The layers totally or partially intercalated with the reactive silane swelling/compatibilizing agent may be separated from the solution by some suitable method such as filtration or centrifugation, followed by rinsing in fresh water, rough drying, and ball milling to about 100 mesh powder. The powder may be rigorously dried at 60°C to 160°C in vacuum for 8 to 24 hrs, to provide the desired swellable/polymer compatible intercalated layered material.

The onium ion intercalated precursor particles may be formed by ion exchange by ionic molecules by suspending the layered material in a relatively volatile liquid which is capable of both exfoliating and dispersing the layers or fibrils of the and dissolving a salt of the ionic intercalant as well as the resulting salt of the ion displaced from the layered material (e.g.,  $\text{Na}^+$ ,  $\text{Mg}^{+2}$ ,  $\text{Ca}^{+2}$ ), adding the salt of the ionic intercalant, and removing the layered material (now completed with the new intercalant) from the liquid (now containing the dissolved salt of the displaced ion). For example, swellable layered minerals such as montmorillonite and hectorite (having primarily  $\text{Na}^+$  cations in the interlayer spaces) intercalate water to the point that the layers are exfoliated and dispersed uniformly in water. Dispersion in water is generally aided by mixing with relatively high shear. An onium material such as the hydrochloride salt of dodecylamine is then added in the desired amount after which the layers completed with the ammonium cation are separated from the dispersion, washed of residual NaCl, and dried.

Any swellable layered or fibril material having the above referenced characteristics may be used in the practice of this invention. Illustrative of useful fibrillar inorganic materials are imogolite, vanadium oxide, carbon tubules and the like. Useful

swellable layered materials include phyllosilicates. Illustrative of such materials are smectite clay minerals such as montmorillonite, nontronite, beidellite, volkonskoite, hectorite, saponite, sauconite, magadiite, and kenyaite; vermiculite; and the like. Other useful  
5 layered materials include illite minerals such as ledikite and admixtures of illites with the clay minerals named above. Other useful layered materials, particularly useful with anionic polymers, are the layered double hydroxides, such as  $\text{Mg}_6\text{Al}_3.4(\text{OH})_{18.8}(\text{CO}_3)_{1.7}\cdot\text{H}_2\text{O}$  (see  
10 W.T. Reichle, J. Catal., 94 (12985) 547), which have positively charged layers and exchangeable anions in the interlayer spaces. Other layered materials having little or no charge on the layers may be useful in this invention provided they can be intercalated with swelling  
15 agents which expand their interlayer spacing. Such materials include chlorides such as  $\text{ReCl}_3$  and  $\text{FeOCl}$ , chalcogenides such as  $\text{TiS}_2$ ,  $\text{MoS}_2$ , and  $\text{MoS}_3$ , cyanides such as  $\text{Ni}(\text{CN})_2$ , and oxides such as  $\text{H}_2\text{Si}_2\text{O}_5$ ,  $\text{V}_6\text{O}_{13}$ ,  $\text{HTiNbO}_5$ ,  $\text{Cr}_{0.5}\text{V}_{0.5}\text{S}_2$ ,  $\text{W}_{0.2}\text{V}_{2.8}\text{O}_7$ ,  $\text{Cr}_3\text{O}_8$ ,  $\text{MoO}_3(\text{OH})_2$ ,  $\text{VOPO}_4\cdot 2\text{H}_2\text{O}$ ,  $\text{CaPO}_4\text{CH}_3\cdot\text{H}_2\text{O}$ ,  $\text{MnHAsO}_4\cdot\text{H}_2\text{O}$ ,  
20  $\text{Ag}_6\text{Mo}_{10}\text{O}_{33}$ , and the like.

Preferred swellable materials are layered materials having charges on the layers and exchangeable ions such as sodium cations, quaternary ammonium cations, calcium cations and the like between  
25 the layers which can be intercalated by useful reactive organo silanes, organo titanates and/or organo zirconates by an insertion mechanism and covalent bond formation between the organo metallic compound and the surfacants and/or edges of the layers. More preferred layered  
30 materials are those having negative charges or basic sites on the layers, preferably at least about 20 basic sites per 100 g of material, more preferably at least about 50 basic sites per 100 g of material and most preferably from about 50 to about 120 basic sites per 100 g  
35 of material. Most preferred swellable layered materials are phyllosilicates having a negative charge on the layers ranging from about 0.2 to about 0.9 charges per formula unit and a commensurate

number of exchangeable cations in the interlayer spaces. Particularly preferred layered materials are smectite clay minerals such as montmorillonite, nontronite, beidellite, volkonskoite, hectorite, saponite, sauconite, magadiite, and kenyaite, with hectorite and montmorillonite having from about 20 basic sites to about 150 basic sites per 100 g material being the layered material of choice.

The interlayer spacing of the swellable/compatibilized layered or fibrillar material is critical and exfoliation must be sufficient large to allow for the desired dispersion of the layers or fibrils during the process. As used herein, the "inter-particle spacing" refers to the distance between the faces of the layers of a layered material or between the surfaces of adjacent fibrils in a fibrillar material as they are assembled in the intercalated material before any delamination (or exfoliation) of layers or fibrils takes place. In general, the interparticle distance should be greater than about 4 Å, as determined by x-ray diffraction, in order to facilitate delamination of the layered material or fibrillar materials at the nanoscale. In the preferred embodiments of the invention, the interparticle distance is at least about 8 Å and more preferred interparticle distances are at least about 15 Å.

The organo silanes, organo titanates or organo zirconates are critical and are selected from the group consisting of organo silanes, organo titanates and organo titanates which have one or more moieties that are reactive with the layers of the layered material or the fibrils fibrillar material to form covalent bonds therewith (i.e. Si-O, Ti-O or Zr-O ), and which have one or more moieties which are reactive with one or more polymer precursor or with the polymer formed from the polymer precursor or a combination thereof to form covalent bonds therewith, which have one or more moieties which are compatible with said polymer of a combination of such moieties. These organo metallic compounds function to weaken the interlayer or interfibril cohesive energy by swelling the interlayer or interfibril distances and increase the compatibility of the layers or fibrils with the polymer or by reacting with the polymer precursor. As used herein "compatible" refers to the extent to which the polymer matrix and the surface coating on the layers or fibrils (the compatibilizing agent) have a favorable interaction which promotes the intermingling

of the matrix polymer and the surface layer in the interphase region. Compatibility derives from one or more of the following criteria: similar cohesive energy densities for the polymer and the derivatized platelets, similar or complimentary capacities for dispersive, polar, or hydrogen bonding interactions, or other specific interactions, such as acid/base or Lewis-acid/Lewis-base interactions. The compatibility of the polymer and the compatibilized layers and fibrils can be determined by dividing the Hildebrand Parameter of the liquid into separate contributions from dispersion ( $\delta_d$ ), from polar interactions ( $\delta_p$ ), and from hydrogen bonding interactions ( $\delta_h$ ). In this scheme (which is disclosed in "Handbook of Solubility Parameters and Other Cohesive Parameters", by Allan F.M. Barton (CRC Press, 1983) pp. 141-162, 94-110) the Hildebrand Parameter is related to the contribution from dispersion ( $\delta_d$ ), polar interactions ( $\delta_p$ ) and hydrogen bonding ( $\delta_h$ ) ("Hansen Parameters") by the relationship.

$$\delta^2 = \delta_d^2 + \delta_p^2 + \delta_h^2$$

In general, the solubility parameter of the compatibility layers and fibrils polymer should be within  $10 \text{ (MPa)}^{1/2}$  of the solubility parameter of the compatibilized layers and fibrils. The difference in solubility parameters is preferably  $1.0 \text{ (MPa)}^{1/2}$  and more preferably  $0.5 \text{ (MPa)}^{1/2}$ . Compatibilization will lead to an improved dispersion of the layers or fibrils particles in the matrix and an improved percentage of delaminated platelets with a thickness of less than 50 Å.

Organo metallic species which are reactive with the polymer precursor in the mixture and/or with the resulting polymer, the agent bond the layers or fibrils to the polymer by forming a covalent bonds with both the layers and the polymer. These reactive species facilitate delamination by polymer compatibility and covalent bonds with at least one polymer in the polymer matrix which are formed by reaction between the species and the polymer precursor of the polymer. Reactive organo metallics include hydrocarbons, fluorinated hydrocarbons, polysiloxanes substituted with hydrocarbons or fluorinated hydrocarbons that possess one or more functional groups



that reacts with a particular polymer at temperatures equal to or less than the polymer's polymerization or melt processing temperature.

For a more complete treatment of useful coupling agents, see "Silane Coupling Agents", E. P. Plueddemann, Plenum Press, NY, 1982; Modern Plastics Encyclopedia, October, 1976, Vol. 53, No. 12A, pages 161 and 166, "Titanate Coupling Agents", S.J. Monte. Continues through 1984; and Modern Plast. Encyclopedia, Vo. 60, No. 10A, pp 119, 1983-1984, "Titanate Coupling Agents", S.J. Monte and G. Sugerman).

Specific organo silanes, titanates or zirconates having reactive substituents can be selected for particular classes of matrix polymers. For example, useful combinations of reactive silane, titanate or zirconate substituents and polymers are: aminoalkyl substituent with polyamides, polyesters, and polycarbonates; chloromethylaromatic substituent with polyamides, polyamide-imides, and polyphenylenesulfide; isocyanatoalkyl substituent with polyesters; and caprolactam-blocked isocyanatoalkyl substituent with polyamides.

The organo silane, organo titanate or organo zirconate swelling/compatibilizing agents also preferably include a moiety or moieties whose cohesive energies are sufficiently similar to that of the polymer that of the layers or fibrils is made more compatible with at least one polymer in the matrix, thereby enhancing the homogeneity of the dispersion in the polymeric matrix or a moiety or moieties which react with a polymer precursor or a polymer in the polymer matrix to form covalent bonds between the agent and a polymer in the matrix during polymerization step such that material, which are covalently bonded to the layered or fibrillar the organo silane, organo titanate or organo zirconate swelling/compatibilizing agent, becomes covalently bonded to the polymer. Non-reactive silane, titanate and zirconate substituents include hydrocarbons, fluorinated hydrocarbons, polysiloxanes substituted with hydrocarbons or fluorinated hydrocarbons. These substituents are non-reactive with

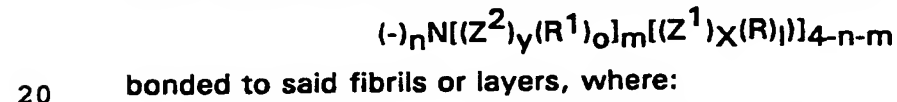
virtually all matrix polymers. Examples of non-reactive silane, titanate and zirconate substituents are: alkyl, aryl, alkenyl, cycloalkyl, cycloalkenyl either unsubstituted or substituted with alkoxy, alkylthio, alkylcarbonyl, alkenyl, cyano, nitro. Non-reactive silane, titanate and zirconate substituents also include functionalized hydrocarbons or fluorinated hydrocarbons, and polysiloxanes substituted with hydrocarbons or fluorinated hydrocarbons in all matrix polymers except those where the functional group reacts with the polymer at temperatures equal to or less than the processing temperature.

Intercalated layered or fibrillar materials are preferably derivatized by treatment of a suitable layered or fibrillar material with organo metallic species of the formula:

15



which react with the fibrils or layers to form species of the formula:



$X^1$  is a leaving group which is displaceable by or reactive with oxide functions on said layers or said layers such as phosphato, pyrophosphato, halo, alkoxy, acyloxy, amine and the like;

25  $(-)$  is a covalent bond to the surface of the layer or fibril;

$N$  is Si, Zr or Ti;

$Z^1$  and  $Z^2$  are the same or different and are  $-O-$ ,  $-OC(O)-$ ,  $-OC(O)O-$ ,  $-OP(O)(O-)_2$ ,  $-OP(O)(OH)P(O)(O-)_2$  or  $-OS(O)_2-$ , or any two  $Z^1$  or  $Z^2$  or any  $Z^1$  and  $Z^2$  together may form a divalent chain forming cyclic structure such as cyclopyrophosphite;

30

$l$  and  $o$  are the same or different and are 1 or 2;

$x$  and  $y$  are the same or different and are 0 or 1 with the proviso that when  $N$  is Si,  $x$  and  $y$  are 0 and when  $N$  is Ti or Zr,  $x$  and  $y$  are 1;

35

$m$  and  $n$  are the same or different and are 1, 2 or 3, with the proviso that the sum of  $m$  and  $n$  is equal to 3;

$R^1$  are the same or different at each occurrence and are a nonhydrolyzable organic radicals which are bonded to the Si atom and not displaceable during the formation of the composite; and

5        R are the same or different at each occurrence and are organic radicals which are bonded to the N atom, and which are not hydrolyzable and displaceable during the formation of the composite, and which is reactive with the polymer matrix or at least one  
10        polymeric component of the polymer matrix or with the polymer precursor to form covalent bonds between the reaction residue of R and the polymer or polymer precursor, preferably with the proviso that at least of R or  $R^1$  is compatible with such matrix.

Illustrative of such organo metallic agents are N-(2-aminoethyl-  
15        3-aminopropyl trimethoxysilane, -3-isocyanatopropyltriethoxysilane, diethylphosphatoethyltriethoxysilane, trimethoxysilylpropylisothiuronium-chloride, N-(3-trimethoxysilylpropyl)-N-methyl-N,N-diallylammonium-chloride N-  
20        (3-acyloxy-2-hydroxypropyl)-3-aminopropyl triethoxysilane, N-(triethoxysilylpropyl)urea, 3-amino propylmethyl diethoxysilane, 1-trimethoxysilyl-2-(p,m-chloromethyl)-phenylethane, (aminoethylaminomethyl)phenylethyltrimethoxysilane, trimethoxysilylpropyldiethylenetri amine, vinyltriethoxysilane,  
25        vinyltris(t-butylperoxy)silane, 3-(n-styrylmethyl-2-aminoethylamino)propyltrimethoxysilane hydrochloride, phenyltriethoxysilane, 3-mercaptopropylmethyldimethoxysilane, 3-  
30        glycidoxypropyltrimethoxysilane, bis(2-hydroxyethyl)-aminopropyltriethoxysilane, (3-glycidoxypropyl) methyldiethoxysilane, bis-[3-(triethoxysilyl)propyl]tetrasulfide, N-(2-aminoethyl)-3-aminopropylmethyldimethoxysilane,  
35        3-aminopropyltriethoxysilane, allyltrimethoxysilane, vinyltris(methylethylketoximine)silane, di(cumyl)phenyl oxoethylene titanate, di(dioctyl) pyrophosphate oxoethylene titanate, dimethacryl,

oxoethylene titanate, di(butyl, methyl) pyrophosphato, oxoethylene  
di(dioctyl) phosphato titanate, di(dioctyl) phosphato, ethylene titanate,  
di(dioctyl) pyrophosphato ethylene titanate, di(butyl, methyl)  
5 pyrophosphato ethylene titanate, 2-(N,N)-dimethylamino)isobutanol  
adduct of di(dioctyl) pyrophosphate oxoethylene titanate, 2-(N,N-  
dimethylamino) isobutanol adduct of di(butyl, methyl) pyrophosphato  
oxoethylene, triethylene adduct of di(octyl) pyrophosphato ethylene  
titante, methacrylate functional amine adduct of di(dioctyl)  
10 pyrophosphato ethylene titanate, acrylate functional amine adduct of  
di(dioctyl)pyrophosphato ethylene titanate, methacrylamide functional  
amine adduct of di(dioctyl) pyrophosphato ethylene titanate, acrylate  
functional amine adduct of di(butyl, methyl) pyrophosphato  
15 oxoethylene, methacrylamide adduct of di(dioctyl) pyrophosphato 2,2-  
dimethylpropylene zirconate, cycloneopentyl cyclo(dimethylamino  
ethyl) pyrophosphato zirconate, di mesyl salt, and the like.

Useful  $R^1$  groups may vary widely. Illustrative of useful  $R^1$   
20 groups are monomeric, oligomeric or polymeric hydrocarbons such as  
alkyl, aryl, alkoxyalkyl, alkynyl, polyethylene glycol, alkenyl,  
cycloalkyl, cycloalkenyl, alkylaryl, arylalkyl, alkoxyaryl and the like  
either unsubstituted or substituted with substituents such as alkoxy,  
25 alkylthio, cyano, nitro, alkoxycarbonyl, alkylcarbonyl and the like, and  
fluorinated derivatives thereof.

Useful R groups may vary widely. The only requirement is that  
the R group is reactive with a polymer component or polymer  
component in the polymeric matrix. Illustrative of useful R groups are  
30 polymers, oligomers or monomers having any number of carbon  
atoms which are selected from the group consisting of organic  
radicals which are compatible with at least one polymer forming the  
composite and which has substituents which are reactive with the  
35 polymer or polymer such as nucleophilic or electrophilic moieties  
which are capable of electrophilic or nucleophilic displacement  
reactions, coupling reactions, various opening reactions and the like

as for example amino, carboxy, acylhalide, acyloxy, hydroxy, phosphato such as di(dioctyl) phosphato, dimethacryl, pyrophosphato such as di(dioctyl) pyrophosphato, isocyanato, ureido, halo, epoxy, epichlorohydrin and the like.

In the preferred embodiments of the invention the organo metallic agents are organo silanes wherein:

$R^1$  is alkyl, cycloalkyl, alkoxyalkyl, phenyl, phenylalkyl, alkoxyphenyl or alkylphenyl;

N is Si;

$X^1$  is alkoxy or alkylamino;

y and x are 0;

o and l are 1;

m is 0, 1, 2, or 3;

n is 1; and

R is an organic radical having at least one functional group which is capable of reacting with one or more polymeric components of the polymeric matrix to form covalent bonds, which radical may optionally include one or more heteroatoms or carbonyl atoms;

In the more preferred embodiments of the invention the silane agents are of the above formula in which:

N is Si;

$X^1$  is alkoxy such as ethoxy, propoxy, isopropoxy, methoxy, butoxy or tert-butoxy;

y and x are 0;

o and l are 1;

m is 0, 1, 2 or 3;

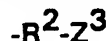
n is 1;

$-R^1$  is alkyl, preferably alkyl of from 1 to about 4 carbon atoms, and more preferred methyl or ethyl, with the proviso that when m is 3, and at least one  $-R^1$  is alkyl having at least about 8 carbon atoms, preferably at least about 10 carbon atoms, more preferably at least

20

about 12 carbon atoms and most preferably at least about 14 carbon atoms; and

-R is an aromatic or a aliphatic radical of the formula:



5

wherein:

-R<sup>2</sup>- is a divalent aliphatic chain or aromatic chain which optionally includes one or more divalent heteroatoms or carbonyl groups in the chain; and

10

Z<sup>3</sup> is a moiety of the formula:

-N(R<sup>3</sup>)C(O)N(R<sup>3</sup>), -C(R<sup>3</sup>)<sub>2</sub>-CR<sup>3</sup>=C(R<sup>3</sup>)<sub>2</sub>, -C(R<sup>4</sup>)-Ar-C(R<sup>4</sup>)=C(R<sup>4</sup>)  
(where Ar is aromatic such as divalent phenyl or alkylphenylene), -  
NH(R<sup>3</sup>)<sub>2</sub>,

15

O

-S<sup>-</sup>M<sup>+</sup>, -O<sup>-</sup>M<sup>+</sup>, -OH, -CR<sup>4</sup>-C(R<sup>3</sup>)<sub>2</sub>, -C(O)X<sup>2</sup>, -SH, -S(O)<sub>2</sub>-X<sup>2</sup>,

20

-NC(O)[C(R<sup>3</sup>)<sub>2</sub>]<sub>p</sub>, -S<sub>4</sub>H, -S<sub>2</sub>H, -S(O)X<sup>2</sup>  
-C(O)-N(R<sup>3</sup>)<sub>2</sub>, -N=C=O, -C(O)OR<sup>3</sup>, C(O)OC(O)R<sup>3</sup> and the like;

p is an integer of from about 3 to about 21;

X<sup>2</sup> is Cl, Br, OH or OM;

M is a metal cation such as Li<sup>+</sup>, Na<sup>+</sup> and K<sup>+</sup>; and

25

R<sup>3</sup> is the same or different at each occurrence and is the hydrogen or alkyl (preferably alkyl having from 1 to about 10 carbon atoms, more preferably having from 1 to about 6 carbon atoms and most preferably from 1 to about 4 carbon atoms).

30

In the most preferred embodiments of the invention reactive silane agents are of the above formula in which:

N is Si;

X<sup>1</sup> is ethoxy, propoxy, isopropoxy, methoxy, butoxy or tert-

35

butoxy;

y and x are 0;

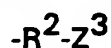
o and l are 1;

m is 0, 1, 2 or 3;

n is 1;

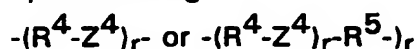
$-R^1$  is alkyl, preferably alkyl of from 1 to about 4 carbon atoms,  
 5 and more preferred methyl or ethyl with the proviso that when m is 3,  
 and at least one  $-R^1$  is alkyl having at least about 8 carbon atoms,  
 preferably at least about 10 carbon atoms, more preferably at least  
 about 12 carbon atoms and most preferably at least about 14 carbon  
 atoms;

10 R is a moiety of the formula:



wherein:

$-R^2-$  is divalent substituted or unsubstituted alkylene,  
 15 alkylenephenylene, alkylenephenylenealkylene or a divalent moiety  
 selected from the group consisting of:



wherein:

20  $R^4$  is the same or different at each occurrence and is substituted  
 or unsubstituted alkylene or phenylene (preferably where the aliphatic  
 moieties include from 1 to about 10 carbon atoms, more preferably  
 from 1 to about 7 carbon atoms and most preferably from 1 to about  
 4 carbon atoms) wherein permissible substituents are one or more  
 25 hydroxy, cyano, or alkoxy or alkyl (preferably having from 1 to about  
 10 carbon atoms, more preferably having from 1 to about 7 carbon  
 atoms and most preferably having from 1 to about 4 carbon atoms);  
 and

30 r is an integer equal to or greater than 1 (preferably from 1 to  
 about 300;

$-Z^4-$  is the same or different at each occurrence and is  $-O-$ ,  $-NH-$ ,  
 $-C(O)-$ ,  $-OC(O)-$ ,  $-N(H)C(O)-$  or  $-N(H)C(O)N(H)-$ ;

35  $Z^3$  is a moiety selected from the group consisting

O

of  $-CH_2X^2$ ,  $-CH=CH_2$ ,  $-NH_2$ ,  $-OH$ ,  $-O^-m^+$ ,  $HC-CH_2$ ,  $-C(O)X^2$ ,  $-SH$ ,

22

$-S^-M^+$ ,  $-S_2H$ ,  $-S_4H$ ,  $-C(O)NH_2$ ,  $-NC(O)-[-CH_2]_p$ ,  $-N=C=O$ ,  $-NHC(O)-(N-lactam)$ ,  $-NHC(O)-(O-ketoxime)$  and  $-NHC(O)-(O-phenol)$ ;

$X^2$  is Cl, Br, I, OH or  $-OM$ ;

$M^+$  is a metal cation such as  $Li^+$ ,  $Na^+$  and  $K^+$ ; and

$p$  is an integer from about 3 to about 20.

In the embodiments of the invention choice reactive silane agents are of the above formula in which:

N is Si;

$X^1$  is ethoxy, propoxy, isopropoxy, methoxy, butoxy or tert-butoxy;

$y$  and  $x$  are 0;

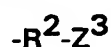
$o$  and  $l$  are 1;

$m$  is 0, 1, 2 or 3;

$n$  is 1;

$-R^1$  is alkyl, preferably alkyl of from 1 to about 4 carbon atoms, and more preferred methyl or ethyl with the proviso that when  $m$  is 3, and at least one  $-R^1$  is alkyl having at least about 8 carbon atoms, preferably at least about 10 carbon atoms, more preferably at least about 12 carbon atoms and most preferably at least about 14 carbon atoms;

R is a moiety of the formula:



wherein:

$-R^2-$  is divalent alkylene; and

$Z^3$  is a moiety selected from the group consisting

of  $-CH_2X^2$ ,  $-CH=CH_2$ ,  $-NH_2$ ,  $-OH$ ,  $-O^-m^+$ ,  $HC-CH_2$ ,  $-C(O)X^2$ ,  $-SH$ ,  $-S^-M^+$ ,  $-S_2H$ ,  $-S_4H$ ,  $-C(O)NH_2$ ,  $-NC(O)-[-CH_2]_p$ ,  $-N=C=O$ ,  $-NHC(O)-(N-lactam)$ ,  $-NHC(O)-(O-ketoxime)$  and  $-NHC(O)-(O-phenol)$ , preferably  $-NH_2$ ,  $-OH$ ,  $-HC-CH_2$ ,



-N=C=O, -NHC(O)-(N-lactam, -NHC(O)-(O-ketoxime) and -NHC(O)-(O-phenol);

$X^2$  is Cl, Br, I, OH or -OM;

5  $M^+$  is a metal cation such as  $Li^+$ ,  $Na^+$  and  $K^+$ ; and

p is an integer from about 3 to about 20.

The layers or fibrils may be derivatized with a simple organo metallic compound or with more than one compound. In the preferred  
10 embodiments of the invention, the layers or fibrils are derivatized with at least one organo metallic compound and at least one other intercalating compound. Most preferred are those embodiments where at least one organo metallic compound is reactive with the layers or fibrils and with a polymer precursor or a polymer in the  
15 polymer matrix and at least one such compound which is non-reactive with such polymers and with the polymer precursors.

Organo silanes, organo titanates or organo zirconates having reactive substituents are selected for particular classes of matrix  
20 polymers based on the reactivity and specifically of the reactive moiety. For example, polyamides are acid terminated and/or amine terminated, and polyesters are acid terminated and/or hydroxy terminated. Therefore, reactive functional groups which react with acid, amine or hydroxy functions to form covalent bonds can be  
25 conveniently used with polyesters and polyamides. Specific reactive functions, such as  $-NH_2$ ,  $-N=C=O$ ,  $CONH_2$ ,  $-OH$ ,  $-O^-M^+$  (where M is a metal cation),  $-C(O)X$  (where X is Cl, Br, or I),  $-C(O)OC(O)R^3$  and the like may be included in the organo silane, organo titanate or  
30 organo zirconate compound to react with reactive functionalities contained in polyesters and polyamide. Similarly, organo silanes, organo titanates and organo zirconates containing functions such as  $-NH_2$ ,  $-CH_2-X^3$  (where  $X^3$  is Cl, Br or I),  $-CH_2=CH_2$ ,  $-SH$ ,  $S^+M^+$   
35 (where  $M^+$  is a metal cation such as  $Na^+$ ,  $Li^+$  and  $K^+$ ) and  $-S_4H$  can be conveniently reacted with polyolefins and halogenated polyolefins such as poly(ethylene), poly(propylene),

poly(chlorotrifluoroethylene) or polyolefin elastomers such as butyl rubber to form covalent bonds between the polyolefin and the reactive organo silane, organo titanate or organo zirconate. Likewise,  
 5 polyvinyls such as poly(vinyl chloride), poly(co-ethylene vinyl alcohol) and the like can be reacted with organo silanes, organo titanates or organo zirconates containing  $\text{-NH}_2$ ,

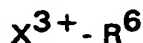
$\text{-CH}_2\text{-X}^3$  (wherein  $\text{X}^3$  is Cl, Br and I),  $\text{-OH}$ ,  
 $\text{O}$   
 10  $\text{-CH-CH}_2$ ,  $\text{-O}^-\text{M}^+$  (where M is a metal cation such as  $\text{Li}^+$ ,  $\text{Na}^+$  and  $\text{K}^+$ ), and the like.

More preferred reactive organo silanes, organo titanates or organo zirconates are those having substituents that react with  
 15 thermoplastics and vulcanizable elastomers as described, for example, in the catalog of Huls America, Inc.. These include, organo silanes, organo titanates or organo zirconates having alkoxy, hydroxy, acyloxy, amino, and halo functional groups. Most preferred reactive  
 20 organo silanes, organo titanates and organo zirconates are those substituted with alkoxy, amino and acyloxy substituents which hydrolyze slowly in water and may be used to treat unintercalated layered or fibrillar material. The reactive organo silanes of choice  
 25 include caprolactam blocked isocyanatopropyltriethoxysilane and 1-trimethoxysilyl-2-(m,p-chloromethyl)phenylethane. The reactive organo titanates of choice are isopropyl tri(N-ethylene diamino) ethyl titanate and di(octyl) pyrophosphato ethylene titanate  
 30 aminoethacrylate adduct and reactive organo zirconates of choice are cyclo [dimeopentyl (diallyl)] pyrophosphato dineopentyl (diallyl) zirconate, and cycloneopentyl, cyclo(dimethylamino ethyl) pyrophosphato zirconate and dimesyl salt.

Organo silanes, organo titanates or organo zirconates having  
 35 compatible substituents are selected for particular classes of matrix polymers based on the compatibility of such substituents and polymers. For example, these substituents include those which form

swelling and compatibilizing agents are lipophilic such that the surface tension, at 20°C, of the derivatized particle is preferably less than or equal to about 55 dyne/cm. More preferably, between about 55 and 15 dyne/cm, and preferably between 45 and 20 dyne/cm, as determined by measuring the contact angles made by sessile drops of liquids on the solid surfaces. Such agents will preferably include a lipophilic portion as for example a long chain alkyl, alkenyl or alkylaryl group (preferably of more than about 9 aliphatic carbon atoms). Such agents are well known in the art and include organo metallic compounds having long chain alkyl groups such as octadecyl, dodecyl, decyl, octyl nonyl and the like.

Layered material or fibrillar material may also be derivatized by a single organo silane, organo titanate or organo zirconate or by a mixture of more than one silane, organo titanate and/or organo zirconate or by a mixture of one or more reactive organo silanes, organo titanates and/or organo zirconates with one or more other swelling/compatibilizing agents. Illustrative of such other swelling/compatibilizing agents are reactive or nonreactive onium salts as for example such salts which have moieties which are compatible with the polymer forming the matrix and/or which are reactive with the polymer in the matrix such as those described in more detail in U.S. Patent Nos. 4,889,885, EP 0358415; 0398551 and 0352942. Illustrative of such optional onium compounds are compounds of the formula:



where  $X^{3+}$  is an ammonium, sulfonium or phosphonium radical and  $R^6$  is an organic radical as for example substituted or unsubstituted alkyl, cycloalkenyl, cycloalkyl, aryl, or alkylaryl, either unsubstituted or substituted with amino, alkylamino, dialkylamino, nitro, azido, alkenyl, alkoxy, cycloalkyl, cycloalkenyl, alkanoyl, alkylthio, alkyl, aryloxy, arylalkylamino, alkylamino, arylamino, dialkylamino, diarylamino, aryl, alkylsulfinyl, aryloxy, alkylsulfinyl, alkylsulfonyl,

arylthio, arylsulfinyl, alkoxycarbonyl, arylsulfonyl, alkylsilane, and a moiety of the formula:



5

wherein  $R^8$  is alkyl, cycloalkyl, or aryl, or alkyl, cycloalkyl or aryl substituted with a carboxylic acid functional group,  $R^7$  is hydrogen, alkyl, or aryl, and  $Z^5$  is -O- or -NR<sup>9</sup>-, where  $R^9$  is hydrogen, alkyl, aryl or silylalkyl. Such optional onium salts are preferably the salts of primary and secondary ammonium radicals because of their heat stability. In this way the surface energy of the platelet particles or fibrillar particles and the number of polymer-reactive sites may be varied to optimize platelet particle dispersion, polymer-particle compatibility, and/or polymer-particle bonding.

10

15

The second step of the process, the polymer precursor, such as a monomer, is polymerized at a temperature and for a time sufficient to form the desired polymer blend. The method of polymerization of the polymer precursor will vary widely depending on the precursor of choice. Such methods are well known in the art and will not be described herein in great detail. Such well known methods of polymerization include, for example, polymerization by thermal annealing, irradiation (such as  $\gamma$ -ray, x-ray, or ultraviolet radiation), ultrasonic cavitation, or mechanical stress. The reaction is carried out for a time and at a temperature to form the composite of this invention having a polymer of the desired molecular weight as reflected by the melt index of the polymer and having the desired interlayer or interfibril spacing.

25

30

The amount of intercalated layered or fibrillar material included in the polymerization mixture may vary widely but generally at least about 0.001% by weight of the composite preferably from about 0.001 to about 60% by weight of the composite, more preferably from about 0.01 to about 20% by weight of the composite and most

35

preferably from about 0.1 to about 10% by weight of the composite. The amount of material employed in any particular situation will depend to a significant extent on the intended use. For example, relatively, larger amounts of platelet particles (exclusive of intercalant since the intercalant content in the layered material may vary), i.e. from about 15% to about 30% by wgt. of the mixture, are used in applications where articles are formed by stamping. Substantially enhanced barrier properties and heat resistance (deflection temperature under load, DTUL) are imparted by platelet particle concentrations greater than about 2.5%. Similarly, substantially enhanced strength is imparted by platelet particle concentrations greater than about 1.5%. When it is desired to preserve such properties as toughness (impact resistance) and elongation which are generally adversely affected by high loadings of any filler material including the nano-scale layered or fibrillar materials of this invention, it is preferred that the silicate loading be less than about 5%. Particle concentration within the range 0.05 to 0.5% significantly enhance modulus, dimensional stability, and wet strength (the latter in the case of polyamides). Concentrations as low as about .5% can be employed to increase melt viscosity (useful in film extrusion and in fiber melt spinning) or they may be employed in selected polymers to stabilize a particular crystalline phase (useful in the case of nylon 6 to stabilize the gamma phase) or limit spherulite size which reduces haze and increases optical clarity. In general, the amount of material employed is less than about 60% by weight of the mixture. The amount of material employed is preferably from about 0.01% to about 20 % by weight of the mixture, more preferably from about 0.05% to about 10% by weight of the mixture, and most preferably from about 0.05% to about 8% by weight.

A precursor of the polymer forming the polymeric matrix such as a monomer, a mixture of monomers, a prepolymer, a mixture of prepolymers or a mixture containing one or more monomers and one

or more prepolymers which can be polymerized in the presence of the derivatized layered or fibrillar material to form the polymeric matrix.

As used herein, a "polymer" is a substance composed of ten or more recurring monomeric units which may be the same or different.

Preferred polymer precursors are monomers, either alone or in mixtures. The monomers may vary widely depending on the desired polymeric matrix. For example, the monomers may polymerize to form homopolymers or copolymers by various polymerization processes as for example by ionic polymerization or free radical polymerization through condensation, ring opening, and addition reactions.

The polymer precursors may be selected such that the resulting polymer matrix is formed of one or more thermosetting polymers, one or more thermoplastic polymers and combinations of one or more thermosetting polymers and one or more thermoplastic polymers. Illustrative of useful monomers or prepolymers are those which may be polymerized to form thermoplastic polymers or mixtures thereof, and vulcanizable and thermoplastic rubbers. Precursors of thermoplastic resins for use in the practice of this invention may vary widely. Illustrative of useful precursors are those of thermoplastic resins such as polylactones such as poly(pivalolactone), poly(caprolactone) and the like; polyurethanes derived from reaction of diisocyanates such as 1,5-naphthalene diisocyanate, p-phenylene diisocyanate, m-phenylene diisocyanate, 2,4-toluene diisocyanate, 4,4'-diphenylmethane diisocyanate, 3,3'-dimethyl-4,4'-diphenylmethane diisocyanate, 3,3'-dimethyl-4,4'-biphenyl diisocyanate, 4,4'-diphenylisopropylidene diisocyanate, 3,3'-dimethyl-4,4'-diphenyl diisocyanate, 3,3'-dimethyl-4,4'-diphenylmethane diisocyanate, 3,3'-dimethoxy-4,4'-biphenyl diisocyanate, dianisidine diisocyanate, tolidine diisocyanate, hexamethylene diisocyanate; 4,4'-diisocyanatodiphenylmethane and the like and linear long-chain diols such as poly(tetramethylene adipate), poly(ethylene adipate),

poly(1,4-butylene adipate), poly(ethylene succinate), poly(2,3-butylene succinate), polyether diols and the like; polycarbonates such as poly[methane bis(4-phenyl) carbonate], poly[1,1-ether bis(4-phenyl) carbonate], poly[diphenylmethane bis(4-phenyl)carbonate], poly[1,1-cyclohexane bis(4-phenyl)carbonate] and the like; polysulfones; polyether ether ketones; polyamides such as poly (4-amino butyric acid), poly(hexamethylene adipamide), poly(6-aminohexanoic acid), poly(m-xylylene adipamide), poly(p-xylylene sebacamide), poly(2,2,2-trimethyl hexamethylene terephthalamide), poly(metaphenylene isophthalamide) (Nomex), poly(p-phenylene terephthalamide) (Kevlar), and the like; polyesters such as poly(ethylene azelate), poly(ethylene-1,5-naphthalate), poly(1,4-cyclohexane dimethylene terephthalate), poly(ethylene oxybenzoate) (A-Tell), poly(para-hydroxy benzoate) (Ekonol), poly(1,4-cyclohexylidene dimethylene terephthalate) (Kodel) (as), poly(1,4-cyclohexylidene dimethylene terephthalate) (Kodel) (trans), polyethylene terephthlate, polybutylene terephthalate and the like; poly(arylene oxides) such as poly(2,6-dimethyl-1,4-phenylene oxide), poly(2,6-diphenyl-1,4-phenylene oxide) and the like; poly(arylene sulfides) such as poly(phenylene sulfide) and the like; polyetherimides; vinyl polymers and their copolymers such as polyvinyl acetate, polyvinyl alcohol, polyvinyl chloride, polyvinyl butyral, polyvinylidene chloride, ethylene-vinyl acetate copolymers, and the like; polyacrylics, polyacrylate and their copolymers such as polyethyl acrylate, poly(n-butyl acrylate), polymethylmethacrylate, polyethyl methacrylate, poly(n-butyl methacrylate), poly(n-propyl methacrylate), polyacrylamide, polyacrylonitrile, polyacrylic acid, ethylene-acrylic acid copolymers, ethylene-vinyl alcohol copolymers acrylonitrile copolymers, methyl methacrylate-styrene copolymers, ethylene-ethyl acrylate copolymers, methacrylated budadiene-styrene copolymers and the like; polyolefins such as low density poly(ethylene), poly(propylene), chlorinated low density

poly(ethylene), poly(4-methyl-1-pentene), poly(ethylene),  
poly(styrene), and the like; ionomers; poly(epichlorohydrins);  
poly(urethane) such as the polymerization product of diols such as  
5 glycerin, trimethylol-propane, 1,2,6-hexanetriol, sorbitol,  
pentaerythritol, polyether polyols, polyester polyols and the like with a  
polyisocyanate such as 2,4-tolylene diisocyanate, 2,6-tolylene  
diisocyanate, 4,4'-diphenylmethane diisocyanate, 1,6-hexamethylene  
diisocyanate, 4,4'-dicyclohexylmethane diisocyanate and the like; and  
10 polysulfones such as the reaction product of the sodium salt of 2,2-  
bis(4-hydroxyphenyl) propane and 4,4'-dichlorodiphenyl sulfone; furan  
resins such as poly(furan); cellulose ester plastics such as cellulose  
acetate, cellulose acetate butyrate, cellulose propionate and the like;  
15 silicones such as poly(dimethyl siloxane), poly(dimethyl siloxane),  
poly(dimethyl siloxane co-phenylmethyl siloxane), and the like; protein  
plastics; and blends of two or more of the foregoing.

Precursors of vulcanizable and thermoplastic rubbers useful in  
20 the practice of this invention may also vary widely. Illustrative of such  
precursors are those of rubbers as for example brominated butyl  
rubber, chlorinated butyl rubber, polyurethane elastomers,  
fluoroelastomers, polyester elastomers, butadiene/acrylonitrile  
elastomers, silicone elastomers, poly(butadiene), poly(isobutylene),  
25 ethylene-propylene copolymers, ethylene-propylene-diene terpolymers,  
sulfonated ethylene-propylene-diene terpolymers, poly(chloroprene),  
poly(2,3-dimethylbutadiene), poly(butadiene-pentadiene),  
chlorosulphonated poly(ethylenes), poly(sulfide) elastomers, block  
30 copolymers, made up of segments of glassy or crystalline blocks such  
as poly(styrene), poly(vinyl-toluene), poly(t-butyl styrene), polyester  
and the like and the elastomeric blocks such as poly(butadiene),  
poly(isoprene), ethylene-propylene copolymers, ethylene-butylene  
35 copolymers, polyether ester and the like as for example the  
copolymers in poly(styrene)-poly(butadiene)-poly(styrene) block



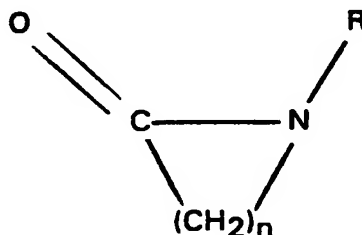
copolymer manufactured by Shell Chemical Company under the trade name of Kraton®.

Illustrative of still other useful polymer precursors are those which may be cured to form thermoset polymers as for example alkyds derived from the esterification of a polybasic acid such as phthalic acid and a polyhydric alcohol such as glycol; allylics such as those produced by polymerization of dialkyl phthalate, dialkyl isophthalate, dialkyl maleate, and dialkyl chlorendate; amino resins such as those produced by addition reaction between formaldehyde and such compounds as melamine, urea, aniline, ethylene urea, sulfonamide and dicyandiamide; epoxies such as epoxy phenol novlak resins, diglycidyl ethers of bisphenol A and cycloaliphatic epoxies; phenolics such as resins derived from reaction of substituted and unsubstituted phenols such as cresol and phenol with an aldehyde such as formaldehyde and acetaldehyde and phenolic derivatives such as phenolic cyanates and phenolic epoxies; saturated polyesters; silicones; and urethanes formed by reaction of a polyisocyanate such as 2,6-tolylene diisocyanate, 1,6-hexamethylene diisocyanate and 4,4'-dicyclohexylmethane diisocyanate with a polyol such as polyether polyol (trimethylol propane, 1,2,6-hexanetriol, 2-methyl glycoside, pentaerythritol, poly(1,4-tetramethylene ether) glycol, sorbitol and sucrose), polyester polyols such as those prepared by esterification of adipic acid, phthalic acid and like carboxylic acids with an excess of difunctional alcohols such as ethylene glycol, diethylene glycol, propanediols and butanediols.

Preferred monomers are those which are liquid under reaction conditions and more preferred monomers are those for thermoplastic polymers. Illustrative of these more preferred monomers are lactams which are capable of self polymerization to form nylons such as caprolactam, caprylactam, laurolactam and the like; and diamines which form nylons by reaction with dicarboxylic acids such as

hexamethylene 1,6-diamine, decamethylene 1,10-diamine, and the like.

Most preferred monomers are lactams. Lactams are cyclic compounds represented by the formula shown below, which undergo ring-opening polymerization to form polyamides,



(wherein n is an integer of about 4 to about 12, and R hydrogen, aryl, alkylaryl, alkyl (preferably of 1 to about 8 carbon atoms), or an arylalkyl which may optionally have substituent groups). Illustrative examples include caprolactam (n=6 and R=H in above formula), azacyclotetanone (n=7 and R=H in the formula) and dodecanolactam (n=12 and R=H in the formula), which form upon polymerization nylon-6, nylon-8 and nylon-12, respectively. These polymerizable lactams may be used either alone or in combination.

Where required, the polymerization mixture may also include various promoters, activators, catalyst and the like which may be required for polymerization of the monomer of choice. Such promoters, activators, catalyst and the like are well known in the art. Thus, they will not be described herein in any great detail. The mixture may include various optional components which are additives commonly employed with polymers. Such optional components include nucleating agents, fillers, plasticizers, impact modifiers, chain extenders, plasticizers, colorants, mold release lubricants, antistatic agents, pigments, fire retardants, and the like. Alternatively, these

optional components may be added to the composition after or completion of or during the polymerization step. These optional components and appropriate amounts are well known to those of skill in the art and, accordingly, will not be described herein in detail.

Upon polymerization of the mixture, at least about 80% by weight, preferably at least about 85% by weight, more preferably at least about 90% by weight and most preferably at least about 95% by weight of the layered or fibrillar particles "uniformly or substantially uniformly dispersed" in the polymer matrix. As used herein "uniformly dispersed" is defined as a degree of dispersion of the platelet or fibrillar particles having a standard deviation in platelet or fibrillar particle density, down to a sampling volume of  $10^{-15} \text{ m}^3$ , which is preferably less than about 50% of the mean, more preferably less than about 30% of the mean, and most preferably less than about 20% of the mean as determined from estimates based on transmission electron microscopy.

Platelet particles and fibrillar particles dispersed in matrix polymers have the thickness of the individual layers or the diameter of individual fibrils, or small multiples less than about 10, preferably less than about 5 and more preferably less than about 3 of the layers or fibrils, and still more preferably 1 or 2 layers or fibrils. In the preferred embodiments of this invention, intercalation of every interlayer or interfibril space is complete so that all or substantially all individual layers or fibrils delaminate one from the other to form separate platelet or fibrillar particles. In cases where intercalation is incomplete between some layers or fibrils, those layers or fibrils will not delaminate during polymerization, and will form aggregates of platelet particles or fibrillar particles. These platelet particle or fibril aggregates still constitute nanoscale and nanodispersed fillers and provide enhanced properties over and above those provided by conventional micro-scale fillers, as long as they are less than about 10

layers thick or 10 fibrils in diameter and preferably less than about 5 layers thick or 5 fibrils in diameter.

5 The dimensions of the fibrils may vary widely. In the preferred embodiments of this invention fibrillar particles have an average diameter or equivalent diameter of from about 20 to about 60 Å with a maximum diameter or equivalent diameter of 200 Å and wherein the length to diameter ratio is at least about 10 to 1. In the more preferred embodiments of the invention, diameter of the fibrillar particles is from about 20 to about 50 Å with a maximum diameter of 80 Å wherein the length to diameter ratio is at least about 50 to 1 and preferably at least about 100 to 1.

15 The dimensions of the platelet particles may vary greatly. The particle faces may be of any shape such as roughly hexagonal or rectangular shaped. Platelet particles have average diameters between about 10,000 Å and about 50 Å with a maximum diameter and about 20,000 Å, such that the aspect ratio length/thickness ranges from about 2000 to about 10 and an average thickness of less than about 50 Å with a maximum thickness of 2000 Å. For the purposes of the present invention, the average diameter is defined as the diameter of a circle having an area equal to the surface area of one broad surface face of the platelet shaped particle. In the preferred embodiments of the invention, the average thickness of the platelet particles is equal to or less than about 20 Å with a maximum diameter of about 10,000 Å and the average diameter is between 5,000 Å and 100 Å. Most preferably the average thickness is about from 5 to about 15 Å and the average diameter is from about 2,500 to about 150 Å with a maximum diameter of about 5,000 Å. The most preferred average diameter depends on both the desired property of the nanocomposite and the ease of complete intercalation and delamination to form the nanocomposite structure. High aspect ratios, and therefore large average diameters, are generally preferred for reinforcement and barrier properties, while layered materials having smaller platelets are

preferred for their ease of delamination. Thus, for purposes of the nanocomposite properties, the most preferred average diameter is greater than about 150 A, and, for purposes of delamination, it is less than about 3000 A with a maximum diameter of about 5,000 A.

The average interparticle spacing between delaminated layers or fibrils may vary widely depending on the concentration of layered or fibrillar particles. In general the higher the concentration of layered or fibrillar particles in the polymer matrix particle the smaller the interparticle spacing; and conversely, the lower the concentration of layered or fibrillar particles, the larger the interparticle spacing. In general, interparticle spacing is equal to or greater than 15 A. The interparticle spacing is preferably equal to or greater than about 20 A, more preferably equal to or greater than about 30 A and most preferably equal to or greater than about 50 A.

The nanocomposite compositions according to the invention are polymeric materials from which articles of manufacture having valuable properties can be produced by conventional shaping processes, such as melt spinning, casting, vacuum molding, sheet molding, injection molding and extruding. Examples of such articles are components for technical equipment, apparatus castings, household equipment, sports equipment, bottles, containers, components for the electrical and electronics industries, car components, circuits, fibers, semi-finished products which can be shaped by machining and the like. The use of the materials for coating articles by means of powder coating processes is also possible, as is their use as hot-melt adhesives. The compositions according to the invention are outstandingly suitable for specific applications of all types since their spectrum of properties can be modified in the desired direction in manifold ways. Such products of this invention will derive one or more advantages over products molded with polymers having no nanodispersed platelet particles including increased modulus, stiffness, wet strength, dimensional

stability, and heat deflection temperature, and decreased moisture absorption, flammability, permeability, and molding cycle time.

The compositions of this invention are especially useful for  
5 fabrication of extruded films and film laminates, as for example, films  
for use in food packaging. Such films can be fabricated using  
conventional film extrusion techniques. The films are preferably from  
about 10 to about 100 microns, more preferably from about 20 to  
10 about 100 microns and most preferably from about 25 to about 75  
microns in thickness. In the film, the major plane of the platelet  
particles or the longitudinal axis of the fibrillar particles is substantially  
parallel to the major plane of the film. The extent of parallelism of  
particles and film can be determined by x-ray analysis. x-ray analysis  
15 is a useful way to described the crystallinity and orientation of  
polymer crystals and the orientation of platelet and fibrillar particles.  
A convenient method of x-ray analysis is that described in Hernans,  
P.H. and Weidinger A., Makromol Chemie, Vol. 44, pp. 24-36 (1961),  
20 hereby incorporated by reference.

For the purpose of the present invention Op, the platelet  
orientation factor, is an indication of the platelet particle or fibrillar  
orientation in the film. The Op was determined by making azimuthal  
25 scans from densitometer tracings of the x-ray photographs which  
were obtained by exposing the edge of the film to the incident x-rays.  
The angle is the angle between the reference direction, the normal to  
the film, and the normal to the plane of interest, the major plane of  
the platelet particles or the longitudinal axis of the fibrillar particles.  
30 The Op values were calculated as the average cosine square  
( $\langle \cos^2 \rangle$ ) for the normal to the flat faces of the platelet particles or  
the longitudinal axis of the fibrillar particles. An Op of 1.0 indicates  
that the faces of the platelets particles or the longitudinal axis of the  
35 fibrillar particles are completely parallel to the plane of the film. An  
Op of 0.0 indicates that the faces of the platelets particles or the  
longitudinal axis of the fibrillar particles perpendicular to the plane of

the film. The Op of the platelet particles or the longitudinal axis of the fibrillar particles in the film of the present invention is preferably from about 0.70 to about 1.0, more preferably from about 0.90 to about 1.0 and most preferably from about 0.95 to about 1.0. Such preferred orientation of platelet particles or the longitudinal axis of the fibrillar particles results in enhanced barrier properties and increased tare strength.

The homogeneously distributed platelet or fibrillar particles and polymer are formed into a film by suitable film-forming methods. Typically, the composition is melted and forced through a film forming die. The die can be a flat die or a circular die. A typical flat die is a hanger shaped die, and a typical circular die is a tubular film die.

The film of the nanocomposite of the present invention may go through steps to cause the platelet or fibrillar particles to be further oriented so the major planes through the platelet or fibrillar particles are substantially parallel to the major plane through the film. A method to do this is to biaxially stretch the film. For example, the film is stretched in the axial or machine direction by tension rollers pulling the film as it is extruded from the die. The film is simultaneously stretched in the transverse direction by clamping the edges of the film and drawing them apart. Alternatively, the film is stretched in the transverse direction by using a tubular film die and blowing the film up as it passes from the tubular film die.

The films of this invention may exhibit one or more of the following benefits: increased modulus, wet strength, and dimensional stability, and decreased moisture adsorption and permeability to gases such as oxygen and liquids such as water, alcohols and other solvents. The films are therefore useful in those applications where such properties are of benefit as for example, food packaging.

The following specific examples are presented to more particularly illustrate the invention and are not to be construed as limitations thereon.

### **EXAMPLE 1**

Montmorillonite treated with a nylon-reactive silane, was  
5 prepared by 1. obtaining a water-stable alkoxysilane, caprolactam-  
blocked isocyanatopropyl(triethoxy)silane, and 2. combining an  
aqueous or alcoholic solution of the alkoxysilane with an aqueous  
slurry of montmorillonite in a ratio of 70 to 110 mmole of alkoxysilane  
to 100 g montmorillonite.

10 Caprolactam-blocked isocyanatopropyl(triethoxy)silane was  
prepared by mixing 24.7 g isocyanatopropyl(triethoxy)silane (0.1  
mole; obtained from Huls America, Inc.) with 11.3 g epsilon-  
caprolactam (0.1 mole; obtained from Aldrich, Inc.) under a flow of  
15 nitrogen and heating the mixture to 110°C for 2 h. The unpurified  
silane exhibited the following proton NMR spectrum (chemical shift  
(ppm), multiplicity, relative number of protons): 9.3, triplet, 1H; 3.99,  
triplet, 2H; 3.82, quartet, 6H; 3.28, quartet, 2H; 2.71, triplet, 2H;  
20 1.6, multiplet, 8H; 1.22, triplet, 9H; 0.63, triplet, 2H.

Silane-treated montmorillonite was prepared by adding 32.5 g  
caprolactam-blocked isocyanatopropyl(triethoxy)silane (0.09 mole),  
dissolved in 300 mL of a water-ethanol mixture (2:1), to a stirred  
25 slurry of 100 g montmorillonite in 5 L water at a temperature of about  
60°C. The mixture thickened after the addition of about one half of  
the silane solution, and fully flocculated by the time the addition was  
completed. The silane-treated montmorillonite was collected in a  
filter, washed 2 times with fresh water, and dried in a fluid bed drier  
30 at 110°C for 4 h. The dried product was reduced to 200 mesh  
particles either by tumbling with grinding media or by jet milling.

### **EXAMPLE 2**

35 A nylon 6 / montmorillonite composite, comprising 2%  
montmorillonite treated with a nylon-reactive silane was prepared by  
1. combining 1200 g caprolactam, 60 g aminocaproic acid, and 32 g



montmorillonite treated with caprolactam-blocked isocyanatopropylsilane, and 2. and heating the mixture at about 255°C until the caprolactam plus aminocaproic acid polymerized, about 4 h.

The dry ingredients were tumbled together with grinding media for 1 h and transferred to a 2 L resin kettle purged with a constant flow of nitrogen. The kettle was heated to 110°C and the mixture stirred with an anchor paddle for 2 to 16 h. The temperature of the kettle was elevated until the temperature of the mixture reached about 255°C for 2.5 to 6 h. The crude composite was ground and washed in boiling water 3 times for 1.5 h each to remove water soluble monomer and oligomers.

### **EXAMPLE 3**

A nylon 6 / montmorillonite composite, comprising about 2% montmorillonite treated with a mixture of nylon-reactive silane and non-reactive silane, was prepared, processed, and evaluated according to the procedure described in Example 2 except that the montmorillonite was treated with octadecylsilane and caprolactam-blocked isocyanatopropylsilane in a 10 to 1 molar ratio. The mixed silane-treated montmorillonite was prepared according to the procedure described in Example 1 except that, after the addition of 3.3 g of caprolactam-blocked isocyanatopropyl(triethoxy)silane (9 mmole per 100 g montmorillonite), 81 mmole of an octadecyl(alkoxy)silane (dissolved in a mixture of diacetone and t-butanol; 1:1; obtained from Huls America, Inc under the trade name Glassclad<sup>R</sup>) was added to the montmorillonite slurry.

**EXAMPLE 4**

5 A nylon 6 / montmorillonite composite, comprising about 2%  
montmorillonite treated with a mixture of nylon-reactive silane and  
non-reactive silane, was prepared, processed, and evaluated  
according to the procedure described in Example 3 except that the  
montmorillonite was treated with octadecylsilane and  
10 chloromethylphenylethylsilane in a 10 to 1 molar ratio. The mixed  
silane-treated montmorillonite was prepared according to the  
procedure described in Example 3 except that 2.8 g of 1-  
trimethoxysilyl-2-(m,p-chloromethyl)phenylethane (9 mmole per 100 g  
montmorillonite) was added to the slurry prior to the addition of 81  
15 mmole of the octadecyl(alkoxy)silane.

**EXAMPLE 5**

20 A nylon 6 / montmorillonite composite, comprising about 2%  
montmorillonite treated with a non-reactive silane, was prepared,  
processed, and evaluated according to the procedure described in  
Example 3 except that the montmorillonite was treated with  
octadecylsilane. The silane-treated montmorillonite was prepared  
according to the procedure described in Example 3 except that 90  
25 mmole of the octadecyl(alkoxy)silane was added to the slurry.

**COMPARATIVE EXAMPLE 1**

30 A nylon 6 / montmorillonite composite, comprising about 2%  
montmorillonite treated with a nylon-reactive ammonium ion, was  
prepared, processed, and evaluated according to the procedure  
described in Example 2 except that the montmorillonite was treated  
with protonated 11-aminoundecanoic acid. The ammonium-ion-  
35 treated montmorillonite was prepared according to the procedure  
described in Example 3 except that 24 g of 11-aminoundecanoic acid

hydrochloride ( 90 mmole per 100 g montmorillonite) was added to the slurry.

5

### **COMPARATIVE EXAMPLE 2**

Unfilled nylon 6 was prepared according to the procedure described in Example 2 except that no montmorillonite was added to the polymerization mixture.

10

### **COMPARATIVE EXAMPLE 3**

A series of experiments were carried out to evaluate certain properties of molded parts and films formed from the composites of this invention and to compare same to comparable properties of molded parts and films formed from other composites. Properties selected for evaluation were tensile and notch impact at 50% relative humidity and after 45 days in water and water vapor transmission.

15

Tensile and notched impact tests on injection molded parts of the composite were performed according to the procedures of ASTM D638 and D256. Composite samples were dried at 85°C for 16 hrs. in vacuum and were injection molded into 1/8" thick test specimens which were evaluated in the ASTM procedures.

20

Water vapor transmission rate measurements were performed on 2 mil thick compression molded films of the composite according to the procedures of ASTM D3985. The average tensile and notched impact value and composite are set forth in Tables I and II, and the average transmission value and composite are set forth in Table III.

25

30

35

**TABLE I**

Nylon 6-Montmorillonite (2% Montmorillonite w/w) Composites  
Prepared by Polymerizing Caprolactam with Treated Montmorillonite,  
at 50% relative humidity.

COMPOSITE	TREATMENT OF MONTMORILLONITE	TENSILE MODULUS, KSI (MPA)	NOTCHED IMPACT, FT-LB/IN
Com. Ex. 2	unfilled nylon 6	360 (2,200)	0.9
Com. Ex. 1	11-aminoundecanoic acid ion	450 (3,100)	0.7
Ex. 5	octadecylsilane	435 (3,000)	1.3
Ex. 2	caprolactam-blocked isocyanatopropylsilane	570 (3,900)	0.9
Ex. 4	octadecylsilane + chloromethylphenylethylsilane (10:1)	480 (3,300)	1.3
Ex. 3	octadecylsilane + caprolactam- blocked isocyanatopropylsilane (10:1)	450 (3,100)	1.6

**TABLE II**

Nylon 6-Montmorillonite (2% Montmorillonite w/w) Composites  
Prepared by Polymerizing Caprolactam with Treated Montmorillonite,  
after 45 days in water.

COMPOSITE	TREATMENT OF MONTMORILLONITE	TENSILE MODULUS, KSI (MPA)	NOTCHED IMPACT, FT-LB/IN
Comp. Ex. 2	unfilled nylon 6	55 ( 380)	no break
Comp. Ex. 1	11-aminoundecanoic acid ion	130 ( 930)	3.5
Ex. 4	octadecylsilane + chloromethylphenylethylsilane (10:1)	150 (1,000)	5.4
Ex. 3	octadecylsilane + caprolactam- blocked isocyanatopropylsilane (10:1)	130 ( 930)	no break

**TABLE III**

Nylon 6-Montmorillonite (2% Montmorillonite w/w) Composites  
Prepared by Polymerizing Caprolactam with Treated Montmorillonite,  
water vapor transmission rate through 2 mil compression molded  
films.

COMPOSITE EX. 2	TREATMENT OF MONTMORILLONITE	WATER VAPOR TRANSMISSION RATE, G- MIL/100 IN <sup>2</sup> /DAY
Comp. Ex. 2	unfilled nylon 6	20
Comp. Ex. 1	11-aminoundecanoic acid ion	7.3
Ex. 5	octadecylsilane	6.2
Ex. 3	octadecylsilane + caprolactam-blocked isocyanatopropylsilane (10:1)	6.0

**COMPARATIVE EXAMPLE 4**

A nylon 6 - montmorillonite nanocomposite, comprising 2% montmorillonite, is formed by polymerizing nylon 6 monomer in the presence of the reaction products of an alkoxysilylisocyanate and an organoclay.

A mixture of 2,000 g of caprolactam, 100 g of aminocaproic acid, and 1.0 g of triethoxysilylpropylisocyanate is stirred at 100 rpm at 110°C in a resin kettle using an anchor stirrer under a flow of nitrogen. After 30 min, 55 g of montmorillonite intercalated with octadecylammonium ion (95 mmole per 100 g montmorillonite) is added. After 2 h of stirring at 110°C, the mixture is heated to 255°C for 4 h. The crude product is cooled, ground, washed 3 times in boiling water for 1.5 h each time, and dried at 95°C in a vacuum oven.

Injection molded test specimens exhibit mechanical and barrier properties similar to those exhibited by the nanocomposite of Example 4.

**WHAT IS CLAIMED IS:**

1. A composite material comprising a polymer matrix comprising  
5 a polymer, said matrix having layered or fibrillar particles uniformly  
dispersed therein, wherein the interlayer or interfibril distance is  
equal to or greater than about 50 Å, the average thickness of said  
platelet particles is equal to or less than about 50 Å where the  
10 maximum thickness is equal to or less than about 100 Å and the  
average diameter of said fibrils is equal to or less than about 100 Å  
where the maximum diameter is equal to or less than about 200 Å,  
wherein the length to diameter ratio of said fibril is equal to or greater  
15 than about 10 to about 1, said particles having organo metallic  
species bonded thereto, said bonded species having one or more  
moieties bonded to at least one polymer in said polymer matrix, or  
bonded said species having one or more moieties which are  
20 compatible with at least one polymer in said polymer matrix which  
project away from the particle surface and which are not bonded to  
said polymer or a combination of said species.
2. Composite material of claim 1 wherein said particles are  
25 layered particles.
3. Composite material of claim 2 wherein said layered particles  
are derived from phyllosilicates.
- 30 4. Composite material of claim 3 wherein said phyllosilicates  
have from about 0.2 to about 0.9 basic charges per formula unit.
- 35 5. Composite material of claim 4 wherein said phyllosilicates are  
smectites clay minerals.

.45

6. Composite material of claim 5 wherein said smectites are selected from the group consisting of montmorillonite, nontronite, beidellite, volkonskoite, hectorite, saponite, sauconite, magadiite and kenyaite.

7. Composite material of claim 6 wherein said smectites are montmorillonite or hectorite.

8. Composite of claim 7 wherein said organo metallic species is selected from the group consisting of species of the formula:



wherein:

- (-) is a covalent bond to the surface of the layer or fibril;
- N is Si, Zr or Ti;
- $Z^1$  and  $Z^2$  are the same or different and are -O-, -OC(O)-, -OC(O)O-, -OP(O)(O-)<sub>2</sub>, -OP(O)(OH)P(O)(O-)<sub>2</sub> or -OS(O)<sub>2</sub>-, or any two  $Z^1$  or  $Z^2$  or any  $Z^1$  and  $Z^2$  together may form a divalent chain forming cyclic structure such as cyclopyrophosphite;
- l and o are the same or different and are 1 or 2;
- x and y are the same or different and are 0 or 1 with the proviso that when N is Si, x and y are 0 and when N is Ti or Zr, x and y are 1;
- m and n are the same or different and are 1, 2 or 3, with the proviso that the sum of m and n is equal to 3;
- $R^1$  are the same or different at each occurrence and are a nonhydrolyzable organic radicals which are bonded to the N atom and not displaceable during the formation of the composite and is compatible with said polymer; and
- R are the same or different at each occurrence and are organic radicals which are bonded to the N atom, and which are not hydrolyzable and displaceable during the formation of the composite, and which is reactive with the polymer matrix or at least one

polymeric component of the polymer matrix or with the polymer precursor to form covalent bonds between the reaction residue of R and the polymer or polymer precursor.

5

9. Composite material of claim 8 wherein:

$R^1$  is alkyl, cycloalkyl, alkoxyalkyl, phenyl, phenylalkyl, alkoxyphenyl or alkylphenyl;

N is Si;

10

y and x are 0;

o and l are 1;

m is 0, 1, 2, or 3;

n is 1; and

15

R is an organic radical having at least one functional group which is capable of reacting with one or more polymeric components of the polymeric matrix to form covalent bonds, which radical may optionally include one or more heteroatoms or carbonyl atoms.

20

10. Composite material of claim 9 wherein:

N is Si;

y and x are 0;

o and l are 1;

25

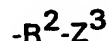
m is 0, 1, 2 or 3;

n is 1;

$-R^1$  is alkyl, with the proviso that when m is 3 at least one  $-R^1$  is alkyl having at least about 8 carbon atoms ; and

30

$-R$  is an aromatic or a aliphatic radical of the formula:



wherein:

35

$-R^2-$  is a divalent aliphatic chain or aromatic chain which optionally includes one or more divalent heteroatoms or carbonyl groups in the chain; and



47

$Z^3$  is a moiety of the formula:

$-N(R^3)C(O)N(R^3)$ ,  $-C(R^3)_2-CR^3=C(R^3)_2$ ,  $-C(R^4)-Ar-C(R^4)=C(R^4)$   
(where Ar is aromatic ) or  $-NH(R^3)_2$ ,

5

$-S^-M^+$ ,  $-O^-M^+$ ,  $-OH$ ,  $-CR^4-C(R^3)_2$ ,  $-C(O)X^2$ ,  $-SH$ ,  $-S(O)_2-X^2$ ,

$-NC(O)[C(R^3)_2]_p$ ,  $-S_4H$ ,  $-S_2H$ ,  $-S(O)X^2$

10

$-C(O)-N(R^3)_2$ ,  $-N=C=O$ ,  $-C(O)OR^3$ ,  $C(O)OC(O)R^3$  and the like;

p is an integer of from about 3 to about 21;

$X^2$  is Cl, Br, OH or OM;

M is a metal cation such as  $Li^+$ ,  $Na^+$  and  $K^+$ ; and

15

$R^3$  is the same or different at each occurrence and is the  
hydrogen or alkyl.

20

25

30

35

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 94/09498

## A. CLASSIFICATION OF SUBJECT MATTER

C 08 L 101/00, C 08 L 77/02, C 08 K 9/06, C 08 K 7/00

According to International Patent Classification (IPC) or to both national classification and IPC 6

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C 08 L 101/00, C 08 L 77/00, C 08 K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X, P	WO, A, 94/11 430 (ALLIED SIGNAL INC.) 26 May 1994 (26.05.94), the whole document. --	1-10
X	WO, A, 93/11 190 (ALLIED SIGNAL INC.) 10 June 1993 (10.06.93), the whole document. --	1-10
X	EP, A, 0 398 551 (UBE INDUSTRIES LTD.) 22 November 1990 (22.11.90), page 3, line 23 - page 5, line 34; examples; claims. --	1-3, 5-7
X	EP, A, 0 099 710 (STANDARD OIL COMPANY) 01 February 1984 (01.02.84),	1-3, 5-10

☒ Further documents are listed in the continuation of box C.

☐ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search  
11 November 1994

Date of mailing of the international search report  
13.12.94

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer  
TENGLER e.h.

# INTERNATIONAL SEARCH REPORT

-2-

Int. Application No  
PCT/US 94/09498

## C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	<p>page 3, line 23 - page 6, line 10; page 7, line 27 - - page 9, line 24. -----</p>	

# ANHANG

zum internationalen Recherchen-  
bericht über die internationale  
Patentanmeldung Nr.

# ANNEX

to the International Search  
Report to the International Patent  
Application No.

# ANNEXE

au rapport de recherche inter-  
national relatif à la demande de brevet  
international n°

PCT/US 94/09498 SAE 95777

In diesem Anhang sind die Mitglieder  
der Patentfamilien der in obenge-  
nannten internationalen Recherchenbericht  
angeführten Patentdokumente angegeben.  
Diese Angaben dienen nur zur Unter-  
richtung und erfolgen ohne Gewähr.

This Annex lists the patent family  
members relating to the patent documents  
cited in the above-mentioned inter-  
national search report. The Office is  
in no way liable for these particulars  
which are given merely for the purpose  
of information.

La présente annexe indique les  
membres de la famille de brevets  
relatifs aux documents de brevets cités  
dans le rapport de recherche inter-  
national visée ci-dessus. Les renseigne-  
ments fournis sont donnés à titre indica-  
tif et n'engagent pas la responsabilité  
de l'Office.

In Recherchenbericht angeführtes Patentdokument Patent document cited in search report Document de brevet cité dans le rapport de recherche		Datum der Veröffentlichung Publication date Date de publication	Mitglied(er) der Patentfamilie Patent family member(s) Membre(s) de la famille de brevets	Datum der Veröffentlichung Publication date Date de publication
WO A1	9411430	26-05-94	keine - none - rien	
WO A1	9311190	10-06-93	keine - none - rien	
EP A2	398551	22-11-90	EP A3 398551 JP A2 2305828 US A 5102948	16-01-91 19-12-90 07-04-92
EP A1	99710	01-02-84	CA A1 1220296 JP A2 59027933 US A 4431755	07-04-87 14-02-84 14-02-84